In re Application

Inventor(s): Charles E. Taylor, et al.

SC/Serial No.: 10/074,096 Confirm. No.: 9062

Filed: February 12, 2002

Title: ELECTRO-KINETIC AIR TRANSPORTER AND

CONDITIONER DEVICE WITH ENHANCED
ANTI-MICROORGANISM CAPABILITY

PATENT APPLICATION

Art Unit: 1741

Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal. Service with sufficient postage as functional man envelope addressed to Commissioner for Patents, Washington, DC 20231, on January 27, 2003.

\_\_\_\_\_\_(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660 Signature Date: January 27, 2003

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

## Enclosed with this statement are the following:

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign

-1-

language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

## This statement should be considered because:

- \_\_\_\_\_ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
  - (2) It is being filed within 3 months of entry of a national stage;
    -- OR --
  - (3) It is being filed before the mailing date of the first Office Action on the merits,
  - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- \_\_\_ 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
  - (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
    - -- AND (check at least one of the following) --
  - \_\_ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
    - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- \_\_ 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
  - (1) It is being filed on or before payment of the issue fee;
    -- AND --
  - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e); -- AND --

- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- \_\_\_\_\_ Fee Authorization. The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: 1(2)/3

**v**:

Sheldon R. Meyer, Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

Form PTO	1449	U.S. DEVARTMENT OF COMMERCE			E Attorney I	Attorney Docket Number			Serial/Patent Number		
(Substitute)		PATENT AND TRADEMARK OFFICE			·	SHPR-01028US4 10/074,096  Applicant/Patent Owner					
IN	FOR	MATION DISCLOSURE STA	Charle	Charles E. Taylor, et al.							
BY APPLICANT (Use several sheets if necessary)						Filing/Issue Date February 12, 2002			Group Art Unit 1741		
U.S. PATENTS											
Examiner Initial		Patent Number	Issue Date		Fi	First Named Inventor		Class	Subclass	Filing Date	
	1.	4,284,420	Aug.18,1981 I		Borysiak	Borysiak		55	114	8/7/79	
U.S. PATENT PUBLICATIONS											
Examiner Initial		Patent Application Publication Number		ber	Publication Date			Applicant			
						1. 5.7					
PENDING U.S. PATENT APPLICATIONS											
Examiner Initial		Application Number Fili		Filing	g Date First Named In			nventor E		Petition to Expunge? Yes   No	
FOREIGN PATENT DOCUMENTS											
Examiner Initial		Document Number Publication Date		Country			Class	Subclass	Trans- lation Yes No		
								l			
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)											
Examiner						Date Considered					
		nitial if citation considered, when						line throug	h citation if	not in	
*1 = Copy not submitted because it was submitted in prior application SN _/, filed, 20, relied on under 35 USC §120.  *2 = Copy not submitted because it was submitted in prior application SN _/, filed, 20, relied on under 35 USC §120.											